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\* Radio Frequency & Microwave Physics  
Concepts & Techniques

\* Dave McGinnis

Scientist - Fermilab

mcginnis@fnal.gov

630-840-2789

\* 23 years of particle accelerator experience

1) Stochastic cooling systems

2) Beam Feedback systems

3) Beam Instrumentation & Control

\* 3 years Fermilab Center for Particle Astrophysics

3-D map of universe  $z=.5$  to 2  
using Hydrogen 21 cm line.

Course Objectives

Aimed at students working in RF-  
millimeter wave detectors & high speed  
electronics.

1) Practical overview of EM theory

2) Guided wave propagation

3) Communication Concepts

4) Devices

5) Antenna

# Syllabus

## 1) Week 1 Electromagnetic Basics

- a) Maxwell's Eqs
- b) Sources & Duality
- c) Uniqueness, Images, Equivalence  
Induction & Reciprocity
- d) Green's Functions

## 2) Week 2-3 Guided Waves

- a) Transmission lines
- b) Optimum Power Matching.
  - i) Time domain reflectometer
  - ii) Single stub Tuners
- c) Waveguides
  - i) mode sets
  - ii) Rectangular & Circular waveguides
  - iii) loaded waveguides

## 3) Week 4 Communication Concepts

- a) Power Spectral Density
- b) Modulation Techniques
- c) Band limited Noise
  - i) Noise Temperature
  - ii) Noise Figure

## 4) Week 5-6 Devices

a) S-parameters

b) Passive devices

Couplers, hybrids, isolators, etc

c) RF cavities

d) Active Devices

Klystrons, Tetrodes,

Travelling Wave Tubes

## 5) Week 7-8 Antennas

a) Patterns, Directivity, Aperture

b) Wire Antennas

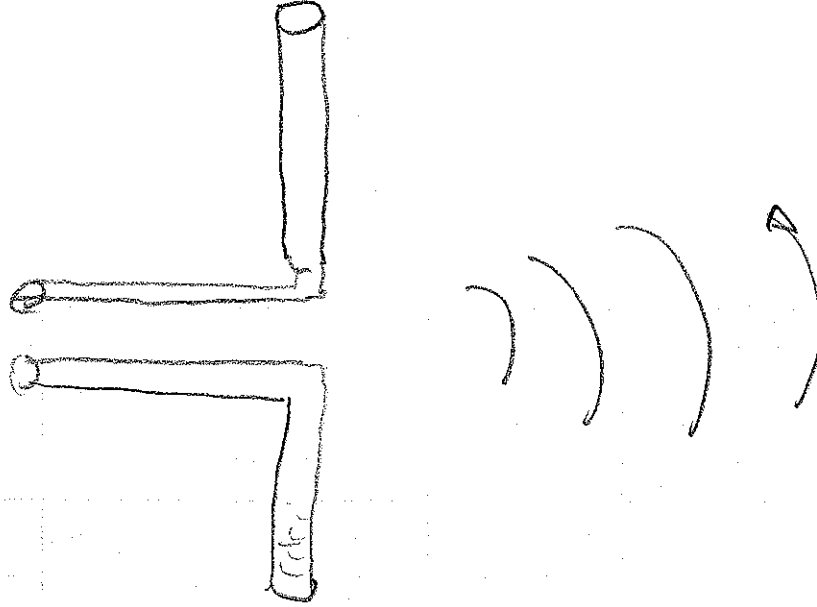
c) Phased Arrays

d) Aperture Antennas

## References

- 1) Time Harmonic Electro magnetic Fields by Roger F. Harrington
- 2) Foundations of Microwave Engineering by R. E. Collin
- 3) Field Theory of Guided Waves by R. E. Collin
- 4.) Lines, Waves, & Antennas by Brown, Sharpe, Hughes, & Post
- 5) Antenna Theory & Design by Stutzman & Thiële.

How Does an antenna radiate?



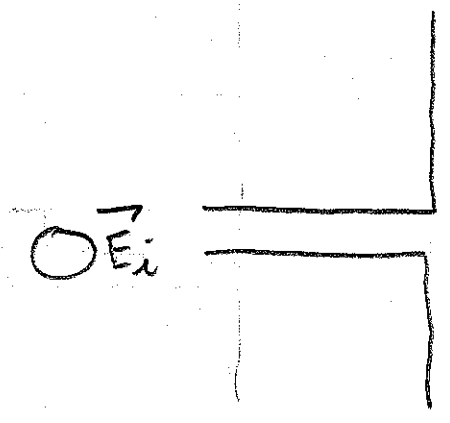
$$\vec{A} = \frac{1}{4\pi} \iint \frac{\vec{J} e^{ik|\vec{r}-\vec{r}'|}}{|\vec{r}-\vec{r}'|}$$

$$\vec{E} = \frac{1}{\omega\epsilon} \nabla \times \nabla \times \vec{A}$$

$$P = \iiint \vec{E} \cdot \vec{J} dV$$

But  $E = 0$  in a conductor!

Think of an antenna this way



$$E_T = E_i + E_s$$

total
incident
scattered

We usually know the incident field

We know the boundaries

We want to find the scattered field

On the boundary  $\vec{E}_s = -\vec{E}_i$

An approximation is

$$\vec{A}_s = \frac{1}{4\pi} \iint \frac{\vec{J}_{ind} e^{ik|r-r'|}}{|r-r'|} dV'$$

Equivalent Current Induced

An antenna is a scatterer  
not a radiator!

We should think of most EM problems as sources fields & scattered fields

## Basic E & M Concepts

- 1) Maxwell's Equations
- 2) Circuit Equivalents
- 3) Sources
  - Electric (Current)
  - Magnetic (Voltage)
- 4) Energy & Power
  - Real
  - Reactive
- 5) Time Domain & Frequency Domain
- 6) Wave Solutions
- 7) Duality
- 8) Uniqueness
- 9) Image Theory
- 10) Equivalence
- 11) Induction
- 12) Reciprocity

## Maxwells Equations

$$\vec{\nabla} \times \vec{E} = - \frac{\partial \vec{B}}{\partial t}$$

$$\vec{\nabla} \times \vec{H} = \frac{\partial \vec{D}}{\partial t} + \vec{J}$$

$$\vec{\nabla} \cdot \vec{D} = \rho$$

$$\vec{\nabla} \cdot \vec{B} = 0$$

## Circuit Equations

$$v = \int \vec{E} \cdot d\vec{l} \quad \text{voltage (Volts)}$$

$$u = \int \vec{H} \cdot d\vec{l} \quad \text{magnetomotive force (Amps)}$$

$$\psi^e = \iint \vec{D} \cdot d\vec{s} \quad \text{electric flux}$$

$$\psi^m = \iint \vec{B} \cdot d\vec{s} \quad \text{magnetic flux}$$

$$q = \iiint \rho \, dv \quad \text{electric charge}$$

$$i = \iint \vec{J} \cdot d\vec{s} \quad \text{electric current}$$

$$\Sigma v = - \frac{\partial \psi^m}{\partial t}$$

$$\Sigma u = \frac{\partial \psi^e}{\partial t} + i$$

$$\Sigma \psi^e = q$$

$$\Sigma \psi^m = 0$$



## Sources

$$\nabla \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$$

$$\nabla \times \vec{H} = \frac{\partial \vec{D}}{\partial t} + \vec{J}$$

$$\vec{J} = \sigma \vec{E} + \vec{J}^i$$

$$i^i = \iint \vec{J}^i \cdot d\vec{s}$$

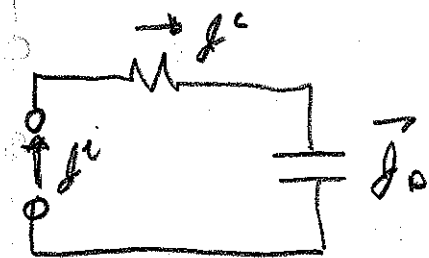
Where's the concept of a voltage source?

A current source can be thought of as a spatial change (or discontinuity) in the magnetic field  $\vec{H}$ .

$$\vec{J}^+ = \frac{\partial \vec{D}}{\partial t} + \sigma \vec{E} + \vec{J}^i$$

$$\vec{J}^+ = \vec{J}_d + \vec{J}_c + \vec{J}^i$$

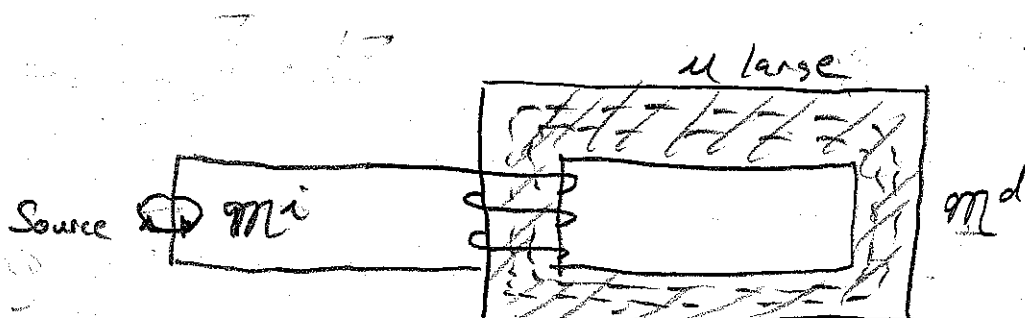
$$\nabla \times \vec{H} = \vec{J}^+$$



## Voltage Sources

$$\vec{\nabla} \times \vec{E} = -\vec{m}^+$$

$$\begin{aligned} \vec{m}^+ &= \frac{\partial \vec{B}}{\partial t} + \vec{m}^i \\ &= \vec{m}^d + \vec{m}^i \end{aligned}$$



$$\vec{\nabla} \times \vec{H} = \vec{j}^+$$

$$\vec{\nabla} \times \vec{E} = -\vec{m}^+$$

$$\oint \vec{H} \cdot d\vec{l} = \iint \vec{j}^+ \cdot d\vec{s}$$

$$\oint \vec{E} \cdot d\vec{l} = -\int \vec{m}^+ \cdot d\vec{s}$$

$$\oint \vec{H} \cdot d\vec{l} = i^+$$

$$\oint \vec{E} \cdot d\vec{l} = -k^+$$

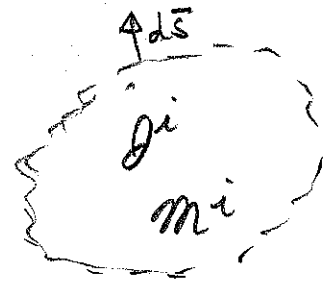
$$\sum u = i^+$$

$$\sum v = -k^+$$

# Energy & Power.

Poynting vector

$$\vec{S} = \vec{E} \times \vec{H}$$



Density power flux.

$$p_f = \nabla \cdot \vec{S}$$

$$P_f = \iiint p_f dV$$

$$= \oiint \vec{S} \cdot d\vec{s} = \oiint \vec{E} \times \vec{H} \cdot d\vec{s}$$

$$\begin{aligned} \nabla \cdot \vec{E} \times \vec{H} &= \vec{H} \cdot \nabla \times \vec{E} - \vec{E} \cdot \nabla \times \vec{H} \\ &= -\vec{H} \cdot \vec{j}^+ - \vec{E} \cdot \vec{j}^+ \end{aligned}$$

$$\oiint \vec{E} \times \vec{H} \cdot d\vec{s} + \iiint \vec{H} \cdot \vec{j}^+ dV + \iiint \vec{E} \cdot \vec{j}^+ dV = 0$$

$$\vec{E} \cdot \vec{j}^+ = \frac{\partial}{\partial t} \left( \frac{1}{2} \epsilon \mathcal{E}^2 \right) + \sigma \mathcal{E}^2 + \vec{E} \cdot \vec{j}^i$$

$$\vec{H} \cdot \vec{j}^+ = \frac{\partial}{\partial t} \left( \frac{1}{2} \mu \mathcal{H}^2 \right) + \vec{H} \cdot \vec{j}^i$$

Define Stored Electric Energy

$$W_e = \frac{1}{2} \iiint \epsilon \mathcal{E}^2 dV$$

Magnetic Stored Energy.

$$W_m = \frac{1}{2} \iiint \mu H^2 d\tau$$

Dissipated Power

$$P_d = \iiint \sigma E^2 d\tau$$

Supplied Power

$$P_s = - \iiint (\vec{E} \cdot \vec{j} + \vec{H} \cdot \vec{\dot{m}}) d\tau$$

$$P_s = P_{flux} + P_d + \frac{d}{dt} (W_e + W_m)$$

## Time Domain - Frequency Domain.

We live in the time domain.

Eventually all solutions must be time domain. However solving in frequency domain is algebra instead of convolution.

$$f(t) = \frac{1}{2\pi} \int F(\omega) e^{i\omega t} d\omega$$

$$\frac{df(t)}{dt} = \frac{1}{2\pi} \int i\omega F(\omega) e^{i\omega t} d\omega$$

Does the choice of  $i\omega$  or the  $\frac{1}{2\pi}$  make a difference

No but all test equipment uses this choice

$F(\omega)$  has units of  $\text{Hz}^{-1}$

$$F(\omega) = \int f(t) e^{-i\omega t} dt$$

If  $f(t)$  is real

$$F(\omega) = F(\omega)^*$$

$$f(t) = \int_{-\infty}^{\infty} F(\omega) e^{i\omega t} d\omega$$

$$= 2 \operatorname{Re} \left\{ \frac{1}{2\pi} \int_0^{\infty} F(\omega) e^{i\omega t} d\omega \right\}$$

Maxwell's Eqs in the freq. domain

$$\nabla \times \vec{E} = -i\omega \vec{B}$$

$$\nabla \times \vec{H} = i\omega \vec{D} + \vec{J}$$

$$\nabla \cdot \vec{B} = 0$$

$$\nabla \cdot \vec{D} = \rho$$

Sources

$$\nabla \times \vec{E} = -\vec{M}$$

$$\nabla \times \vec{H} = -\vec{J}$$

Induced currents

$$\vec{J} = (\hat{\sigma} + i\omega \hat{\epsilon}) \vec{E} = \hat{\gamma}(\omega) \vec{E}$$

$$\vec{M} = i\omega \hat{\mu} \vec{H} = \hat{\alpha}(\omega) \vec{H}$$

$$-\nabla \times \vec{E} = \hat{z}(\omega) \vec{H} + \vec{M}^e$$

$$\nabla \times \vec{H} = \hat{y}(\omega) \vec{E} + \vec{J}^i$$

where  $\hat{z}(\omega) = i\omega \hat{\mu}(\omega)$

$$\hat{y}(\omega) = i\omega \hat{\epsilon}(\omega)$$

### Complex Power

$$a = |A| \cos(\omega t + \alpha) = \text{Re}(A e^{i\omega t})$$

$$b = |B| \cos(\omega t + \beta) = \text{Re}(B e^{i\omega t})$$

$$\langle a b \rangle_{\text{time}} = \frac{1}{2} |A| |B| \cos(\alpha - \beta)$$

$$= \frac{1}{2} \text{Re}(A B^*)$$

$$\vec{S} = \frac{1}{2} \vec{E} \times \vec{H}^*$$

$$\langle \vec{S} \rangle = \text{Re}(\vec{S})$$

$$\langle P_d \rangle = \frac{1}{2} \iiint \sigma |E|^2 dV$$

$$\langle W_e \rangle = \frac{1}{4} \iiint \epsilon |E|^2 dV$$

$$\langle W_m \rangle = \frac{1}{4} \iiint \mu |H|^2 dV$$

# Complex Power Equation

$$\hat{P}_+ = \frac{1}{2} \oint \vec{E} \times \vec{H}^* \cdot d\vec{s}$$

↑  
complex number.

$$\hat{P}_s = \frac{-1}{2} \iiint (\vec{E} \cdot \vec{J}^* + \vec{H} \cdot \vec{M}^*) dV$$

↑  
complex number

$$\langle P_s \rangle = \text{Re}(\hat{P}_s)$$

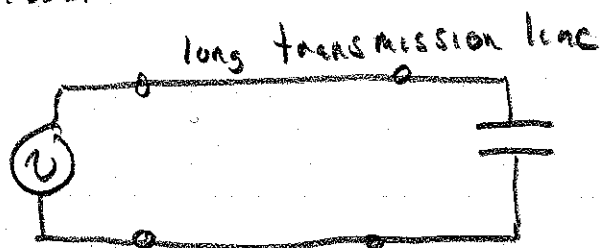
$$\hat{P}_s = \hat{P}_+ + \langle P_d \rangle + i\omega (\langle W_m \rangle - \langle W_e \rangle)$$

↑  
due to  
complex  
algebra

Reactive Power

What is reactive Power?

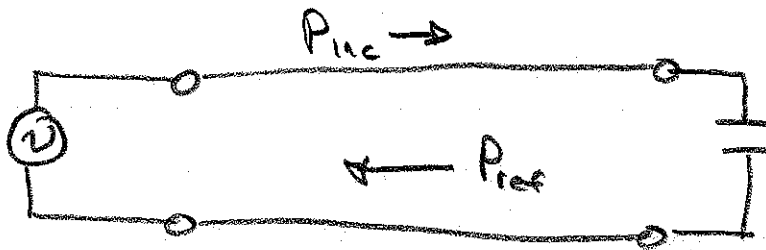
Consider





The time average power dissipated into the capacitor is zero.

But we can think of incident power travelling down the line charging the capacitor and reflected power traveling back.



The stored energy sloshing back & forth out of the capacitor can be thought of reactive power.

Why do we care about reactive power?

Reactive power is associated with out of phase currents & voltages. The structure must withstand these out of phase voltages & currents

## Wave Solutions

Consider

$$\vec{\nabla} \times \vec{E} = -\dot{\hat{z}} \vec{H}$$

$$\vec{\nabla} \times \vec{H} = \hat{y} \vec{E} + \vec{J}$$

Because  $\vec{\nabla} \cdot \vec{H} = 0$

We can have  $\vec{\nabla} \times \vec{A} = \vec{H}$

$$\vec{\nabla} \times \vec{E} = -\dot{\hat{z}} (\vec{\nabla} \times \vec{A})$$

$$\vec{\nabla} \times (\vec{E} + \dot{\hat{z}} \vec{A}) = 0$$

Since  $\vec{\nabla} \times (\nabla \phi) = 0$  (Identity)

$$\vec{E} + \dot{\hat{z}} \vec{A} = -\nabla \phi \quad \text{electric scalar potential}$$

ie for  $\omega \neq 0$   $\dot{\hat{z}} \neq 0$

$$\vec{E} = -\nabla \phi$$

$$\vec{\nabla} \times \vec{H} = \hat{y} \vec{E} + \vec{J}$$

$$\vec{\nabla} \times (\vec{\nabla} \times \vec{A}) = \hat{y} (-\nabla \phi - \dot{\hat{z}} \vec{A}) + \vec{J}$$

$$\vec{\nabla} \times (\vec{\nabla} \times \vec{A}) + \hat{y} \dot{\hat{z}} \vec{A} + \hat{y} \nabla \phi = \vec{J}$$

$$\vec{\nabla} (\vec{\nabla} \cdot \vec{A}) - \nabla^2 \vec{A} + \hat{y} \dot{\hat{z}} \vec{A} + \hat{y} \nabla \phi = \vec{J}$$

$$\vec{\nabla} \cdot (\vec{\nabla} \cdot \vec{A} + \hat{y} \phi) = \nabla^2 \vec{A} - \hat{y} \hat{z} \vec{A} + \vec{J}$$

Since we only chose  $\nabla \times \vec{A} = \vec{H}$   
we are still free to choose  $\nabla \cdot \vec{A}$   
(our gauge)

We choose

$$\vec{\nabla} \cdot \vec{A} + \hat{y} \phi = 0$$

$$\nabla^2 \vec{A} - \hat{y} \hat{z} \vec{A} = -\vec{J}$$

$$\vec{H} = \nabla \times \vec{A}$$

$$\vec{E} = -\hat{z} \vec{A} + \frac{1}{\hat{y}} \nabla (\vec{\nabla} \cdot \vec{A})$$

Free space Green's function

$$\text{Let } \vec{J} = I \vec{l} \delta(\vec{r} - \vec{r}')$$

$$= I \vec{l} \delta(x-x') \delta(y-y') \delta(z-z') \text{ (units)}$$

$$\text{Let } k^2 = -\hat{y} \hat{z}$$

$$A = \frac{I \vec{l}}{4\pi} \frac{e^{-k|\vec{r}-\vec{r}'|}}{|\vec{r}-\vec{r}'|}$$

In General,  
In free Space

$$\vec{A}(\vec{r}) = \frac{1}{4\pi} \iiint \frac{J(\vec{r}') e^{-jk|\vec{r}-\vec{r}'|}}{|\vec{r}-\vec{r}'|} dV$$

Now Consider

$$\nabla \times \vec{E} = -\hat{z} \vec{H} - \vec{M}$$

$$\nabla \times \vec{H} = \hat{y} \vec{E}$$

Charge free region

$$\vec{\nabla} \cdot \vec{E} = 0$$

$$\therefore \vec{E} = -\vec{\nabla} \times \vec{F}$$

$$\nabla \times \vec{H} = -\hat{y} (\nabla \times \vec{F})$$

$$\nabla \times (\vec{H} + \hat{y} \vec{F}) = 0$$

$$\vec{H} + \hat{y} \vec{F} = -\nabla \phi_m$$

$$\nabla \times \vec{E} = -\hat{z} \vec{H} - \vec{M}$$

$$-\nabla \times \nabla \times \vec{F} = +\hat{z} (\vec{\nabla} \phi_m + \hat{y} \vec{F}) - \vec{M}$$

$$-\nabla (\nabla \cdot \vec{F}) + \nabla^2 \vec{F} = \hat{z} \nabla \phi_m + \hat{y} \hat{z} \vec{F} - \vec{M}$$

$$-\nabla (\vec{\nabla} \cdot \vec{F} + \hat{z} \phi_m) = -\nabla^2 \vec{F} + \hat{y} \hat{z} \vec{F} - \vec{M}$$

We can choose

$$\vec{\nabla} \cdot \vec{F} + \hat{z} \phi_m = 0$$

$$\therefore \nabla^2 \vec{F} - \hat{z} \vec{F} = -\vec{M}$$

$$\vec{E} = -\nabla \times \vec{F}$$

$$\vec{H} = -\hat{y} \vec{F} + \frac{1}{\hat{z}} \vec{\nabla}(\vec{\nabla} \cdot \vec{F})$$

Free Space Green's Function

$$\vec{M} = \nabla \vec{L} \delta(\vec{r} - \vec{r}')$$

$$= \nabla \vec{L} \delta(x-x') \delta(y-y') \delta(z-z')$$

$$\vec{F} = \frac{\nabla \vec{L}}{4\pi} \frac{e^{-k|\vec{r} - \vec{r}'|}}{|\vec{r} - \vec{r}'|}$$

In General, in free space

$$\vec{F}(\vec{r}) = \frac{1}{4\pi} \iiint M(\vec{r}') \frac{e^{-jk|\vec{r} - \vec{r}'|}}{|\vec{r} - \vec{r}'|} dv$$